



ALTERNATIVE TO PTO/SB/08 a/b (08-03)

Substitute for form 1449/PTO				<b>Complete if Known</b>	
				Application Number	10/086,973
				Filing Date	March 1, 2002
				First Named Inventor	Kesavan ESUVARANATHAN
				Art Unit	1635
				Examiner Name	R. Schnizer
Sheet	1	of	1	Attorney Docket Number	578762000100

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>3</sup> (if known)			
BS	1.	US-5,605,890-A	02-25-1997	Agrawal et al.	
BS	2.	US-5,614,503-A	03-25-1997	Chaudhary et al.	
BS	3.	US-5,691,316-A	11-25-1997	Agrawal et al.	

FOREIGN PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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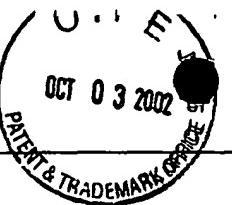
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NON PATENT LITERATURE DOCUMENTS					
Examiner Initials	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.			
BS	4.	Bennett, M.J. et al. (February 1995). "Cholesterol Enhances Cationic Liposome-Mediated DNA Transfection of Human Respiratory Epithelial Cells," <i>Biosci. Rep.</i> 15(1):47-53.			

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Sheet 1 of 7

Form PTO-1449

INFORMATION DISCLOSURE CITATION  
IN AN APPLICATION

(Use several sheets if necessary)

Docket Number 488002000200 Application Number 10/086,973

Applicant

Kesavan ESUVARANATHAN, et al.

Filing Date March 1, 2002

Group Art Unit 1645

Mailing Date: October 3, 2002

U.S. PATENT DOCUMENTS

Examiner Initials	Ref. No.	Date	Document No.	Name	Class	Subclass	Filing Date If Appropriate
BS	1.	11/23/1993	5,264,618	Felgner et al.			

FOREIGN PATENT DOCUMENTS

Examiner Initials	Ref. No.	Date	Document No.	Country	Class	Subclass	Translation YES NO
BS	2.	10/27/1994	CA 2,161,225	Canada			
	3.	12/28/1995	CA 2,193,502	Canada			
	4.	03/21/1996	CA 2,199,004	Canada			
	5.	02/26/1998	CA 2,263,705	Canada			
	6.	04/01/1999	CA 2,303,884	Canada			
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	8.	03/21/1996	WO 96/08235 A1	WIPO			
	9.	11/28/1996	WO 96/37194 A1	WIPO			
	10.	12/19/1996	WO 96/40962 A1	WIPO			
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	12.	02/26/1998	WO 98/07408 A1	WIPO			
	13.	04/02/1998	WO 98/13026 A1	WIPO			
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	15.	10/15/1998	WO 98/44909 A1	WIPO			
	16.	11/19/1998	WO 98/51285 A2	WIPO			
BS	17.	04/01/1999	WO 99/15206 A1	WIPO			

OTHER DOCUMENTS

(including author, title, Date, Pertinent Pages, Etc.)

Examiner Initials	Ref. No.	Title
BS	18.	A Conference Supplement to Cancer Gene Therapy. ( November/December 1999) Gene Therapy of Cancer VIII. San Diego, California December 9-11, 1999. 6(6):total pages 2.

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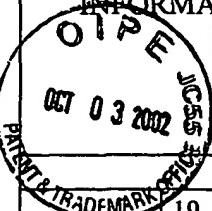
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Sheet 2 of 7

Form PTO-1449 <b>TECH CENTER 1600</b> INFORMATION DISCLOSURE CITATION IN AN APPLICATION <i>(Use several sheets if necessary)</i>		Docket Number 488002000200	Application Number 10/086,973
		Applicant	Kesavan ESUVARANATHAN, et al.
		Filing Date March 1, 2002	Group Art Unit 1645
		Mailing Date: October 3, 2002	
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Sheet 3 of 7

Form PTO-1449  INFORMATION DISCLOSURE CITATION IN AN APPLICATION  <i>(Use several sheets if necessary)</i>		TECH CENTER 1600/2000 Docket Number 488002000200 Applicant Kesavan ESUVARANATHAN, et al. Filing Date March 1, 2002 Group Art Unit 1645 Mailing Date: October 3, 2002
<p><i>O I P E</i> OCT 03 2002 PATENT &amp; TRADEMARK OFFICE U.S.A.</p> <p>35. Debs, R. J. et al. (June 1990). "Regulation of Gene Expression <i>In Vivo</i> by Liposome-mediated Delivery of a Purified Transcription Factor," <i>J. Biol. Chem.</i> 265(18):10189-10192.</p> <p>36. Eastman, S. J. et al. (April 1997). "A Concentrated and Stable Aerosol Formulation of Cationic Lipid: DNA Complexes Giving High-level Gene Expression in Mouse Lung," <i>Hum. Gene. Ther.</i> 8(6):765-773.</p> <p>37. Felgner, P. L. et al. (November 1987). "Lipofection: A Highly Efficient, Lipid-mediated DNA-transfection Procedure," <i>Proc. Natl. Acad. Sci. U.S.A.</i> 84:7413-7416.</p> <p>38. Felgner, J. H. et al. (January 1994). "Enhanced Gene Delivery and Mechanism Studies with a Novel Series of Cationic Lipid Formulations," <i>J. Biol. Chem.</i> 269(4):2550-2561.</p> <p>39. Freeman, D. J. and Niven, R. W. (1996). "The Influence of Sodium Glycocholate and other Additives on the <i>In Vivo</i> Transfection of Plasmid DNA in the Lungs," <i>Pharm. Res.</i> 13(2):202-209.</p> <p>40. Gabrilovich, D. I. et al. (1996). "Dendritic Cells in Antitumor Immune Responses. I. Defective Antigen Presentation in Tumor-Bearing Hosts," <i>Cell. Imm.</i> 170(0139):101-110.</p> <p>41. Gan, Y. H. et al. (1999). "Antitumour Immunity of Bacillus Calmette-Guerin and Interferon Alpha in Murine Bladder Cancer," <i>Eur. J. Cancer</i> 35(7):1123-1129.</p> <p>42. Gao, X. and Huang, L. (January 1996). "Potentiation of Cationic Liposome-Mediated Gene Delivery by Polycations," <i>Biochem.</i> 35(3):1027-1036.</p> <p>43. Gimpl, G. et al. (1997). "Cholesterol as Modulator of Receptor Function," <i>Biochem.</i> 36(36):10959-10974.</p> <p>44. Goeddel, D. V. ed. (1990). <u>Methods in Enzymology: Gene Expression Technology</u>. Academic Press Inc., San Diego, Calif. (Table of Contents). 185:v-ix.</p> <p>45. Golumbek, P. T. et al. (November 1991). "Treatment of Established Renal Cancer by Tumor Cells Engineered to Secrete Interlukin-4," <i>Science</i> 254(5032):713-716.</p> <p>46. Gonzalez, H. et al. (1999). "New Class of Polymers for the Delivery of Macromolecular Therapeutics," <i>Bioconj. Chem.</i> 10:1068-1074.</p> <p>47. Grossé, P. Y. et al. (1998). "Antiproliferative Effect of Methyl-<math>\beta</math>-Cyclodextrin In Vitro and in Human Tumour Xenografted Athymic Nude Mice," <i>Br. J. of Can.</i> 78(9):1165-1169.</p> <p>48. Harimoto, K. et al. (1998). "<i>In Vivo</i> Gene Transfer Methods in the Bladder Without Viral Vectors," <i>Br. J. of Urol.</i> 81:870-874.</p> <p>** 49. Hirayama, F. and Uekama, K. (March 1999). "Cyclodextrin-Based Controlled Drug Release System," <i>Adv. Drug Deliv. Rev.</i> 36(1):125-141.</p> <p>50. Hofland, H. E. (July 1996). "Formation of Stable Cationic Lipid/DNA Complexes for Gene Transfer," <i>Proc. Nat. Acad. Sci USA</i> 93(14):7305-7309.</p> <p>51. Hovgaard, L. and Brondsted, H. (1995). "Drug Delivery Studies in Caco-2 Monolayers. IV. Absorption Enhancer Effects of Cyclodextrins," <i>Pharm. Res.</i> 12(9):1328-1332.</p>		
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<p><i>O I P E</i></p> <p>OCT 03 2002</p> <p>SEARCHED &amp; INDEXED MAILED &amp; TRADEMARK OFFICE</p> <p>52. Hui, S. W. et al. (August 1996). "The Role of Helper Lipids in Cationic Liposome-mediated Gene Transfer," <i>Biophys J.</i> 71:590-599.</p> <p>53. Hyde, S. C. et al. (March 1993). "Correction of the Ion Transport Defect in Cystic Fibrosis Transgenic Mice by Gene Therapy," <i>Nature</i> 362(6417):250-255.</p> <p>54. Jackson, A. M. et al. (1995). "Changes in Urinary Cytokines and Soluble Intercellular Adhesion Molecule-1 (ICAM-1) in Bladder Cancer Patients After Bacillus Calmette-Guérin (BCG) Immunotherapy," <i>Clin. Exp. Immunol.</i> 99:369-375.</p> <p>55. Kaufman, R. J. et al. (January 1987). "Translational Efficiency of Polycistronic mRNAs and Their Utilization to Express Heterologous Genes in Mammalian Cells," <i>EMBO J.</i> 6:187-193.</p> <p>56. Kurisu, H. et al. (1994). "Cytokine-mediated Antitumor Effect of Bacillus Calmette-Guérin on Tumor Cells In Vitro," <i>Cancer Immunol. Immunother.</i> 39:249-253.</p> <p>57. Lamm, D. L., MD (August 1992). "Complications of Bacillus Calmette-Guérin Immunotherapy," <i>Urol. Clin. North Am.</i> 19(3):565-572.</p> <p>58. Lasic, D. D. and Templeton, N. S. (1996). "Liposomes in Gene Therapy," <i>Adv. Drug Deliv. Rev.</i> 20:221-266.</p> <p>59. Lee, E. R. et al. (September 1996). "Detailed Analysis of Structures and Formulations of Cationic Lipids for Efficient Gene Transfer to the Lung," <i>Hum. Gene Ther.</i> 7(14):1701-1717.</p> <p>60. Lee, S. S. et al. (July 1994). "Intravesical Gene Therapy: <i>In Vivo</i> Gene Transfer Using Recombinant Vaccinia Virus Vectors," <i>Cancer Res.</i> 54:3325-3328.</p> <p>61. Li, Y. et al. (January 1999). "Loss of Adenoviral Receptor Expression in Human Bladder Cancer Cells: A Potential Impact on the Efficacy of Gene Therapy," <i>Cancer Res.</i> 59:325-330.</p> <p>62. Liu, F. et al. (December 1996). "New Cationic Lipid Formulations for Gene Transfer," <i>Pharma. Res.</i> 13(12):1856-1860.</p> <p>63. Liu, F. et al. (1996). "Effect of Non-Ionic Surfactants on the Formation of DNA/Emulsion Complexes and Emulsion-Mediated Gene Transfer," <i>Pharm. Res.</i> 13(11):1642-1646.</p> <p>** 64. Lopez, R. F. et al. (April 2000). "Influence of Cyclodextrin Complexation on the In Vitro Permeation and Skin Metabolism of Dexamethasone," <i>Int. J. Pharm.</i> 200(1):127-132.</p> <p>65. Loftsson, T. (1998). "Increasing the Cyclodextrin Complexation of Drugs and Drug Bioavailability Through Addition of Water-Soluble Polymers," <i>Pharmazie</i> 53(11):733-740.</p> <p>66. Malone, R. W. et al. (August 1989). "Cationic Liposome -mediated RNA Transfection," <i>Proc. Natl. Acad. Sci. U.S.A.</i> 86:6077-6081.</p> <p>67. Milelia, M. (1999). "Interleukin-2 Gene Transfer Into Human Transitional Cell Carcinoma of the Urinary Bladder," <i>Brit. J. of Can.</i> 79(5/6):770-779.</p> <p>RS 68. Mizoguchi, H. et al. (December 1992). "Alterations in Signal Transduction Molecules in T Lymphocytes from Tumor-Bearing Mice," <i>Science</i> 258:1795-1798.</p>			

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Form PTO-1449

TECH CENTER 1600/PCD

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Application Number 10/086,973

INFORMATION DISCLOSURE CITATION  
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Applicant

Kesavan ESUVARANATHAN, et al.

Filing Date March 1, 2002

Group Art Unit 1645

Mailing Date: October 3, 2002

P A T E N T & T R A D E M A R K S O C I E T Y OCT 03 2002	69.	Morales, A. et al. (August 1976). "Intracavitory Bacillus Calmette-Guerin in the Treatment of Superficial Bladder Tumors," <i>J. Urol.</i> 116:180-183.
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Docket Number 488002000200

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Group Art Unit 1645

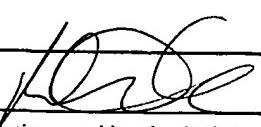
Mailing Date: October 3, 2002

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**	95. Tenjarla, S. et al. (April 1998). "Preparation, Characterization, and Evaluation of Miconazole-Cyclodextrin Complexes for Improved Oral and Topical Delivery," <i>J. Pharm. Sci.</i> 87(4):425-429.
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Form PTO-1449  INFORMATION DISCLOSURE CITATION IN AN APPLICATION  (Use several sheets if necessary)  OCT 03 2002 R.S. U.S. TRADEMARK OFFICE SSS		Docket Number 488002000200	Application Number 10/086,973
		Applicant	Kesavan ESUVARANATHAN, et al.
		Filing Date March 1, 2002	Group Art Unit 1645
		Mailing Date: October 3, 2002	
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